

To:  
UOB Kay Hian (Hong Kong) Limited  
6/F Harcourt House, 39 Gloucester Road, Hong Kong

致:  
大華繼顯 (香港) 有限公司  
香港, 告士打道 39 號, 夏慤大廈 6 樓



Attn.: Data Management Department

## APPLICATION FORM FOR ONLINE TRADING ACCOUNT AND PRICE QUOTE SERVICE 互聯網賬戶及報價服務申請表

- ✓ Please complete the form in **original** and submit to us by post or in person.  
請將已填妥好之表格**正本**, 郵寄或親自呈交予本公司處理
- ✓ Your requests will be subjected to call back procedure and the information will be updated after the call back has completed successfully. (not apply to corporate client)  
閣下的要求將須經電話確認程序確認, 相關資料將會在電話確認後才完成更新 (不適用於公司帳戶)。

### Section A: Please provide account information 請提供帳戶資料:

Account Name(s) 帳戶名稱: _____	Account No. 帳戶號碼: _____ - _____ / M / S / ALL* *Delete where applicable.
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### Section B: Please select the trading account type 請選擇交易帳戶類別:

<input type="checkbox"/> Internet Securities Trading Account 互聯網證券交易帳戶	<input type="checkbox"/> Internet Stock Options Trading Account 互聯網股票期權交易帳戶
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### Section C: Application of UTRADE / Email Statement / Contact Email Information(s) as follows: 申請 UTRADE / 電郵結單 / 電郵資料 (新增 / 更改) 如下:

<input type="checkbox"/> Primary E-mail Address *(Only one e-mail address) 主電郵地址*(只容許一個電郵地址):  _____ (Max 30 characters; 30 字限)  * Existing primary email will be replaced 已存在的主電郵地址將被取代 * Primary email is for receiving all kinds of client communication, including: UTRADE (passwords, login notification, trade confirmation), E-Statement, Change of Information, Corporate Action, Welcome letter, Annual renewal letters, T & C, or other letter. 主電郵地址用作收取客戶端通信, 包括 UTRADE (密碼、登入通知、完成交易確認)、電郵結單、更改資料通知、企業行動、迎新信件、年度更新信件、條款或細則或其他信件。				
<input type="checkbox"/> Alternative E-mail Address** (Maximum of Two e-mail addresses) 副電郵地址**(最多只限兩個電郵地址):  <table><tr><td><input type="checkbox"/> New <input type="checkbox"/> Update 1. _____ 新增 更改 Replace existing alternative email(s), if any; 取代已存在的副電郵地址 (如適用)。</td><td rowspan="2"><div>Please check the below boxes 請勾選以下方格: <input type="checkbox"/> This email will be used to receive UTRADE password and notification. 此電郵會用作接收 UTRADE 密碼及通知 <input type="checkbox"/> This email will be used to receive UTRADE password and notification. 此電郵會用作接收 UTRADE 密碼及通知</div></td></tr><tr><td><input type="checkbox"/> New <input type="checkbox"/> Update 2. _____ 新增 更改 Replace existing alternative email(s), if any; 取代已存在的副電郵地址 (如適用)。</td></tr></table>  * **Alternative Email is for receiving E-Statement and Corporate Action. 副電郵地址用作收取電郵結單及企業行動。	<input type="checkbox"/> New <input type="checkbox"/> Update 1. _____ 新增 更改 Replace existing alternative email(s), if any; 取代已存在的副電郵地址 (如適用)。	<div>Please check the below boxes 請勾選以下方格: <input type="checkbox"/> This email will be used to receive UTRADE password and notification. 此電郵會用作接收 UTRADE 密碼及通知 <input type="checkbox"/> This email will be used to receive UTRADE password and notification. 此電郵會用作接收 UTRADE 密碼及通知</div>	<input type="checkbox"/> New <input type="checkbox"/> Update 2. _____ 新增 更改 Replace existing alternative email(s), if any; 取代已存在的副電郵地址 (如適用)。	
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The email address will be used for <b>receiving e-statement</b> and the physical statement issuing will be terminated (if applicable). I / We hereby confirm that the above email address is for my / our own use only and not accessible by any officer or employee of your company or its associated entity. 新電郵地址將會收取 <b>電郵結單</b> 並同時終止郵寄結單 (如適用)。本人 / 吾等謹此確認以上電郵地址為本人 / 吾等所擁有, 並且不會讓貴公司或其有聯繫實體之僱員登入及使用。				

**Section D: Please select type of Price Quote Service 請選擇報價服務類別:** (For Securities Trading Account 只適用於證券交易帳戶)**Hong Kong Stocks 香港股票:**

By default 預設: Real-Time Snapshot (Free 500 clicks for 2 calendar months) 港股即按式即時報價(可於 2 個月內免費按 500 次)

- ☐ HK Real-Time Snapshots (HK \$25/200 Quotes) \*港股即按式即時報價(港幣\$25/200 個) \*
- ☐ HK Real-Time Streaming LV1- ETNet (HK\$85) \*港股即時串流報價 LV1-ETNet (月費: 港幣\$85) \*
- (Only applicable to UTRADE HK mobile application 只適用於 UTRADE HK 流動應用程式)
- ☐ HK Real-Time Streaming LV3 - ETNet (HK\$338) \*港股即時串流報價 LV3-ETNet (月費: 港幣\$338) \*

**US Stocks 美國股票:**

- ☐ US Real-Time Streaming - Professional User (USD\$110) ^美股即時串流報價-專業用戶 (月費: 美元\$110) ^

**Shanghai and Shenzhen A Shares 上海及深圳 A 股:**

- ☐ Shanghai A Shares Real-Time Streaming - ETNet (HK\$380) \*上海 A 股即時串流報價-ETNet(月費: 港幣\$380)\*

^ Non-Professional subscriber of US Real-Time Streaming service (USD\$10) should login to the internet securities trading account to submit the application.

\* Please refer to UOB Kay Hian's official website (<http://www.utrade.com.hk/en/Tools/Real-Time-Streaming>) for the terms and conditions of price quote service.

^ 非專業用戶訂閱美股即時串流報價服務(月費: 美元\$10), 需登入互聯網證券交易戶口提交申請。

\* 請參閱大華繼顯公司網頁 (<http://www.utrade.com.hk/zh/Tools/Real-Time-Streaming>) 上關於報價服務之條款與細則。

**Declaration:**

- I / We agree to abide by and acknowledge receipt of UOB Kay Hian (Hong Kong) Limited's (UOBKHHK) standard Terms and Conditions governing internet trading for all dealings, prevailing from time to time. 本人/吾等同意遵守及確認收妥大華繼顯(香港)有限公司(「大華繼顯(香港)」)規管互聯網交易中各項交易時通行的標準條款與細則。
- I / We hereby confirm that the above email address is for my / our own use only and shall not be accessible by any officer or employee of UOB Kay Hian (Hong Kong) Limited or its associated entity. 本人/吾等謹此確認以上電郵地址為本人/吾等所擁有, 並且不會讓大華繼顯(香港)有限公司或其有聯繫實體之僱員登入及使用。
- I / We hereby confirm and acknowledge the subscription of the price quote services and terms and Conditions in UTRADE weblink mentioned above which provided by UOB Kay Hian (Hong Kong) Limited's (UOBKHHK). 本人/吾等在此確認申請大華繼顯(香港)有限公司(「大華繼顯(香港)」)提供的報價服務及同意遵守載於上述 UTRADE 網頁鏈結中關於報價服務條款與細則。

Client Signature(s)

客戶簽署

Please use the signature(s) filed with the Company 請用留存在本公司之印鑑簽署。

Date

日期

**For internal use only 只供本公司使用**

## i) Complete by Account Executive.

Brokerage rate of Internet Trading:

HKG (% and Min)	USD (% and Min)	HKA (% and Min)	HKZ (% and Min)

Agreed &amp; Accepted by: Signature of AE \_\_\_\_\_ (Name of AE \_\_\_\_\_)

## ii) Form submits in person:

Name of witness

Date and Time:( \_\_\_\_\_ )

Witness Signature (by Branch Manager/ Member of Client Services Team)

Place of Witness :( \_\_\_\_\_ )

I confirm that I have met the client face-to-face and verified the identity document as the account holder.

Remarks: \_\_\_\_\_